



**Asia-Pacific  
Economic Cooperation**

**Advancing  
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# APEC Project Process Update

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# Introduction:

## Background to APEC-wide Project Process changes



- The **APEC Capacity Building Policy** (the Policy) is endorsed by SCE in 2015
- BMC establishes a Small Working Group (SWG) at BMC 1 2016, to explore ways to implement the APEC Capacity Building Policy
- The first ‘bundle’ of changes were approved at BMC2 in 2016
  - These are largely about how to incorporate the Policy Operating Principles into the Guidebook and scoring frameworks
- The second ‘bundle’ of changes were approved at BMC 1 in 2017
  - These are about the new project selection model
- **Senior Officials endorsed all the collective changes at SOM 1, to apply for Project Session 1 2018**

# So what is changing?

## **“Making practical updates to the Guidebook and scoring sheet to reflect the Policy”**

- A new Appendix: APEC’s capacity building goals, objectives and operational principles
- Updates to the Concept Note, Project Proposal and Self-Funded Project Proposal Templates
- Guidance on the role of the private sector in sponsorship and implementation of projects
- *“Supports Capacity Building”* will be added as a new scoring criteria

# So what is changing?

**“Look at ways of streamlining the project approval process and management procedures”**

- There will be one scoring stage, a single integrated scoring sheet
- Each APEC project fund and each sub-fund will be assigned to a specified committee or forum, or to Senior Officials, for scoring purposes
- These scores alone will determine the final selection order against each fund and sub-fund
- The role of Principal Decision Maker (PDM) will discontinue

# So what is changing?

**“Look at ways of streamlining the project approval process and management procedures (cont’d)”**

- Concept notes will be scored against the scoring criteria
- Only one Monitoring Report
- No Quality Assurance Framework (QAF) assessment for project proposals
- Late project proposals will have a week ‘grace period’ after the advertised submission deadline
- Total funding will be allocated 60% to project session 1, and 40% to project session 2

# Funding sources and responsible fora

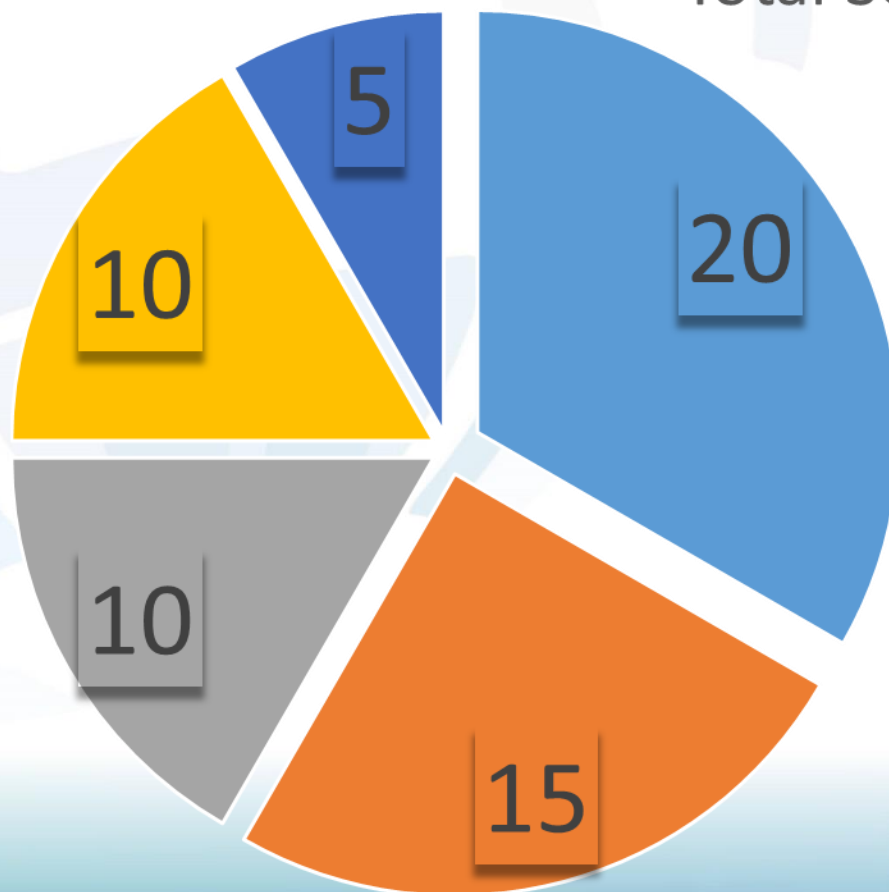


Fund	Fora	Funding Criteria
General Project Account	SOM	Senior Officials will approve annual criteria (incoming/outgoing host themes)
ASF General Fund	SOM	The Manila Framework on ECOTECH
TILF	CTI	The Osaka Action Agenda
Connectivity Sub-Fund	SCE*	Existing sub-fund eligibility and terms of reference as applicable.
Energy Efficiency Sub-Fund	EWG	As above
FTAAP and GVCs Sub-Fund	CTI*	As above
Health and Emergency Preparedness Sub-Fund	SCE	As above
Human Security Sub-Fund	SCE	As above
IERG Sub-Fund	SCE*	As above
Mining Sub-Fund	MTF*	As above
MSME Sub-Fund	SMEWG*	As above
RAASR Sub-Fund	EC*	As above
Supply Chain Connectivity Sub-Fund	CTI*	As above
*Already decide eligibility for these funds		



# New scoring framework

Total Scores:60



- APEC Funds' Priorities
- Quality
- Home Economy Priorities
- Capacity Building
- Collaboration

# Changes to the EWG project process and EE sub-fund



## **Energy Efficiency and Low Carbon Measures Sub-fund** (awaiting BMC approval)

- Minimum of 4 co-sponsors needed by the BMC set submission deadline
- PO is responsible to secure cosponsors as per the Guidebook on APEC Projects, confirmation to APEC Sec through Co-sponsorship Declaration form
- **Maximum APEC-funding per project of USD 100,000** (except for LCMT projects)

## **EWG Project Process** (approved at EWG53) – see separate Map

- Optional early submission for EWG and EG review of CNs (this used to be mandatory)
- CNs must be submitted by the BMC set deadline at the latest, and are still accepted even if this is the first submission



# What is staying the same?

- 2 project sessions a year
- No number limit for proposing the new concept notes
- Fora will still endorse the concept notes
- Each economy will still score every eligible concept note in the scoring process
- BMC will continue to provide the final approval

# What next?

- A new edition of the Guidebook on APEC Projects and Scoring Template was released in October, 2017
- The new project selection model has been updated at [www.apec.org](http://www.apec.org)
- The Energy Efficiency and Low Carbon Measures Sub-fund final eligibility criteria will be sent to EWG members and posted online once approved by BMC.

**If you have questions, please contact:**

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