APEC Project Process Update

EGNRET, October 2017

By Penelope Howarth, Program Director, EWG
Introduction:
Background to APEC-wide Project Process changes

- The **APEC Capacity Building Policy** (the Policy) is endorsed by SCE in 2015
- BMC establishes a Small Working Group (SWG) at BMC 1 2016, to explore ways to implement the APEC Capacity Building Policy
- The first ‘bundle’ of changes were approved at BMC2 in 2016
  - These are largely about how to incorporate the Policy Operating Principles into the Guidebook and scoring frameworks
- The second ‘bundle’ of changes were approved at BMC 1 in 2017
  - These are about the new project selection model
- **Senior Officials endorsed all the collective changes at SOM 1, to apply for Project Session 1 2018**
So what is changing?

“Making practical updates to the Guidebook and scoring sheet to reflect the Policy”

- A new Appendix: APEC’s capacity building goals, objectives and operational principles
- Updates to the Concept Note, Project Proposal and Self-Funded Project Proposal Templates
- Guidance on the role of the private sector in sponsorship and implementation of projects
- “Supports Capacity Building” will be added as a new scoring criteria
So what is changing?

“Look at ways of streamlining the project approval process and management procedures”

• There will be one scoring stage, a single integrated scoring sheet

• Each APEC project fund and each sub-fund will be assigned to a specified committee or forum, or to Senior Officials, for scoring purposes

• These scores alone will determine the final selection order against each fund and sub-fund

• The role of Principal Decision Maker (PDM) will discontinue
So what is changing?

“Look at ways of streamlining the project approval process and management procedures (cont’d)”

• Concept notes will be scored against the scoring criteria

• Only one Monitoring Report

• No Quality Assurance Framework (QAF) assessment for project proposals

• Late project proposals will have a week ‘grace period’ after the advertised submission deadline

• Total funding will be allocated 60% to project session 1, and 40% to project session 2
<table>
<thead>
<tr>
<th>Fund</th>
<th>Fora</th>
<th>Funding Criteria</th>
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<tbody>
<tr>
<td>General Project Account</td>
<td>SOM</td>
<td>Senior Officials will approve annual criteria (incoming/outgoing host themes)</td>
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<tr>
<td>ASF General Fund</td>
<td>SOM</td>
<td>The Manila Framework on ECOTECH</td>
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<tr>
<td>TILF</td>
<td>CTI</td>
<td>The Osaka Action Agenda</td>
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<tr>
<td>Connectivity Sub-Fund</td>
<td>SCE*</td>
<td>Existing sub-fund eligibility and terms of reference as applicable.</td>
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<tr>
<td>Energy Efficiency Sub-Fund</td>
<td>EWG</td>
<td>As above</td>
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<tr>
<td>FTAAP and GVCs Sub-Fund</td>
<td>CTI*</td>
<td>As above</td>
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<tr>
<td>Health and Emergency Preparedness Sub-Fund</td>
<td>SCE</td>
<td>As above</td>
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<td>Human Security Sub-Fund</td>
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<td>IERG Sub-Fund</td>
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<td>Mining Sub-Fund</td>
<td>MTF*</td>
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<tr>
<td>MSME Sub-Fund</td>
<td>SMEWG*</td>
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<td>RAASR Sub-Fund</td>
<td>EC*</td>
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<tr>
<td>Supply Chain Connectivity Sub-Fund</td>
<td>CTI*</td>
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*Already decide eligibility for these funds
New scoring framework

Total Scores: 60

- APEC Funds' Priorities: 20
- Quality: 15
- Home Economy Priorities: 10
- Capacity Building: 10
- Collaboration: 5
Changes to the EWG project process and EE sub-fund

Energy Efficiency and Low Carbon Measures Sub-fund (awaiting BMC approval)
- Minimum of 4 co-sponsors needed by the BMC set submission deadline
- PO is responsible to secure cosponsors as per the Guidebook on APEC Projects, confirmation to APEC Sec through Co-sponsorship Declaration form
- Maximum APEC-funding per project of USD 100,000 (except for LCMT projects)

EWG Project Process (approved at EWG53) – see separate Map
- Optional early submission for EWG and EG review of CNs (this used to be mandatory)
- CNs must be submitted by the BMC set deadline at the latest, and are still accepted even if this is the first submission
What is staying the same?

• 2 project sessions a year

• No number limit for proposing the new concept notes

• Fora will still endorse the concept notes

• Each economy will still score every eligible concept note in the scoring process

• BMC will continue to provide the final approval
What next?

• A new edition of the Guidebook on APEC Projects and Scoring Template was released in October, 2017

• The new project selection model has been updated at www.apec.org

• The Energy Efficiency and Low Carbon Measures Sub-fund final eligibility criteria will be sent to EWG members and posted online once approved by BMC.

If you have questions, please contact:
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