

**APEC Expert Group on
New and Renewable Energy Technologies**

Welcome to EGNRET 40



**Asia-Pacific
Economic Cooperation**

EGNRET

EGNRET 40

Ha Noi, Viet Nam 2 - 5 April, 2013

Notes on APEC Project Submission Process

April 3, 2013 10:10-10:30

Keng-Tung Wu, PhD
APEC EGNRET Secretariat



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APEC Project Funding



Main Sources of APEC Project Funding

- **APEC Operational Account (OA)**

All member economies may apply, and projects may be fully funded.

- **APEC Support Fund (ASF)**

All APEC member economies may apply for ASF funds. There are no self-funding requirements.

- **APEC Trade Investment and Liberalization Fund (TILF)**

Developed economies must provide 50% self-funding; developing economies must provide 20% self-funding.

- **Self Funding (Endorsed by fora)**

* The financial year (FY) of APEC: 1 Jan to 31 Dec of each year

Source: Guidebook on APEC Projects (8 Ed.) (2012)

APEC Projects



■ APEC Projects 2008-2012

TOTAL (OA + TILF + ASF)					
Project Allocation Year	2008	2009	2010	2011	2012
Number of Projects/Concept Notes Requesting Funding	176	287	179	188	215
Value of Projects/Concept Notes Requesting Funding	14,057,481	24,556,424	17,522,851	20,798,273	25,135,842
Number of Projects Approved	134	151	95	138	103
Value of Projects Approved	11,108,344	12,959,193	8,704,269	14,470,832	11,504,811
% Approved	76%	53%	53%	73%	48%
Average Project Cost:	82,898	85,822	91,624	104,861	111,697

Source: 1st BMC Meeting (2013)

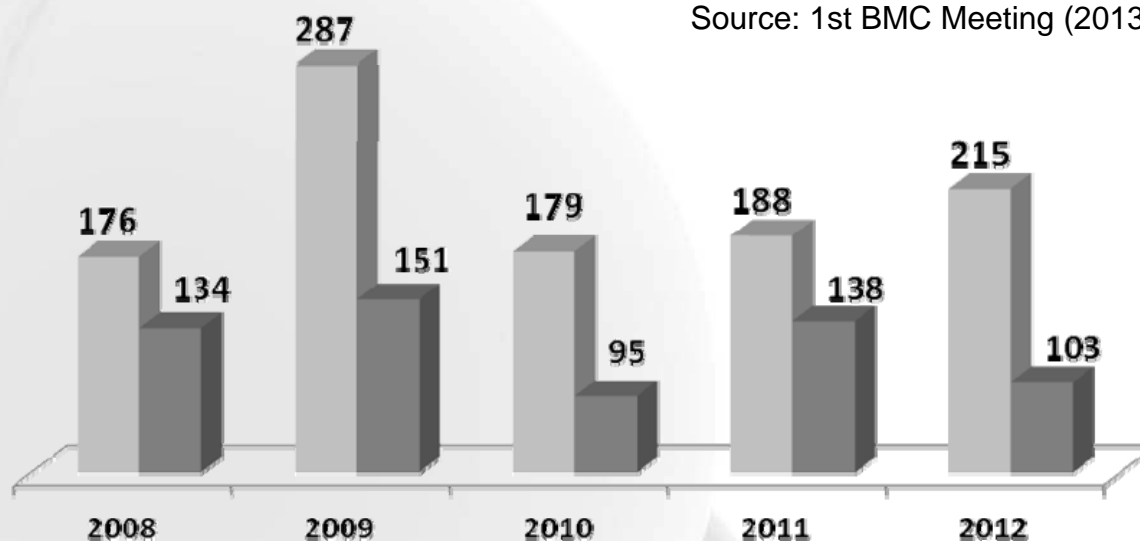
Success Rate of Project Submission



Projects Requests vs. Number Approved

■ Number of Projects Requesting Funding ■ Number of Projects Approved

Source: 1st BMC Meeting (2013)



%Approved 76% 53% 53% 73% 48% (Ave =58%)

**■ EGNRET Success Rate:
83.3% for S₃, 2012 ; 50% for S₁, 2013, CN**

EWG's Policy on Project Vetting



[1] Procedures for Project Proposals

EWG 43 (March, 2012)

- While EWG member economies retain the right to make project proposals directly to the EWG, member economies are highly encouraged to vet project proposals with an appropriate expert group or task force.
- This will ensure expert advice and coordination with ongoing and planned work as the project proposals are elaborated.
- Project proposals should normally be submitted by a EWG delegate or designated expert group or task force member.
- In cases where the subject matter of the proposal does not clearly relate to the remit of any existing expert group or task force, or in cases where the project would be self-funded by an economy or economies and no request is being made for support from APEC funding sources, the expert vetting process may not be necessary.

EWG's Policy on Project Vetting

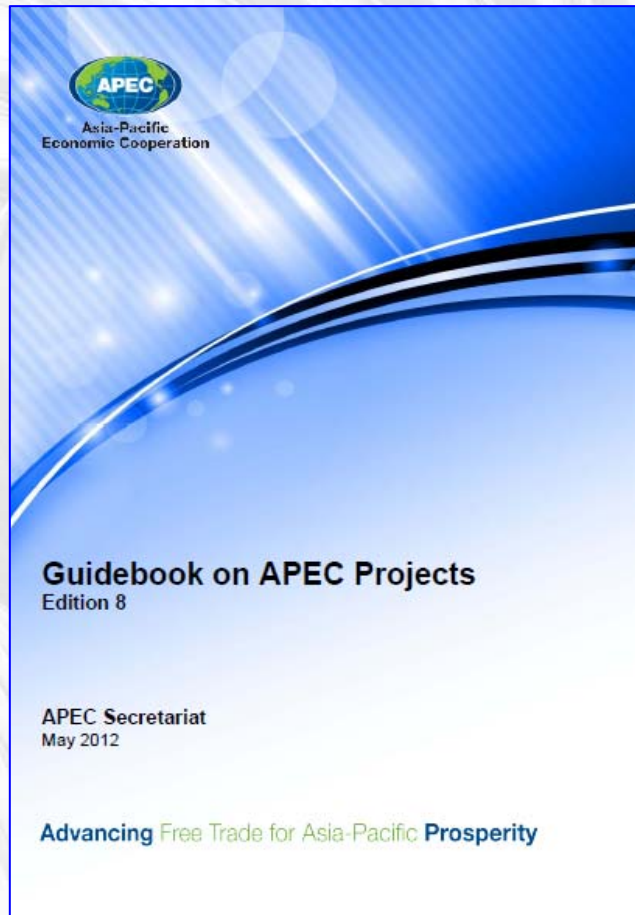


[2] Procedures for Projects Underway

EWG 43 (March, 2012)

- Each APEC-funded project underway should be assigned a lead expert group or task force to follow and advise it, along with an assisting expert group or task force where appropriate, to ensure coordination with ongoing or planned activities.
- Project assignments should be suggested by expert group and task force chairs collectively, and the assignments should then be endorsed by the EWG.
- The APEC and EWG Secretariats should maintain a list of current projects with leads and co-leads for each in consultation with the expert group and task force chairs, and this list should be reported to the EWG.

Guidebook on APEC Projects (8th Ed.)



New Guidebook: May, 2012

The new version is issued to facilitate the consultation of Project Proponents and Project Overseers and make easier the arrangements both the submission of proposals and travel undertakings

- The templates of Concept Notes and Full Project Proposals will be those containing in 8th Edition.
- For Monitoring and Completion Reports, Appendixes G & H are including the new templates to be fulfilled for that occasion.

Guidebook and Forms: <http://www.apec.org/Projects/Forms-and-Resources.aspx>

Develop a Project



- Concept notes (including title page) should be **less than 3 pages**. At least two co-sponsors.
- Each project proposal should be **no more than 12 A4 pages**, including the budget.
 - A minimum of **two Quality assessment forms (QAFs)** are required.
 - Assessors should not be from the proposing economy. Co-sponsoring economies may undertake the QAF. Project Proponents should incorporate all QAF comments into a **single consolidated document**.
- Project proposals are to be completed using the **APEC Project Concept Notes template and Proposal template**.

Implementation Duration of APEC Standard Projects

- APEC projects are implemented over two APEC financial years, starting with the year that a project is approved and ending on 31 December the following year.
- **Examples**
 - 1) Projects approved in March 2013 must be implemented by 31 December 2014.
 - 2) Projects approved in November 2013 must also be completed by 31 December 2014.

Note: The financial year of APEC runs from 1 January to 31 December of each year

Project Deadlines for Session 1, 2013



Full Proposal Submission (Session 1, 2013) (For BMC in-principle approval CN)

Submission of	Submission Deadline	Notification Date
<u>Full proposals to APEC Secretariat</u>	15 Apr 2013	29 Apr 2013
	22 Apr 2013	26 May 2013

Project Deadlines for Session 2, 2013



Concept Note Submission (Session 2, 2013)

Standard projects	Submission Deadline	Notification Date
Submission of Concept notes to APEC Secretariat	16 May 2013	4 Jul 2013
Submission of <u>Full proposals</u> to APEC Secretariat	22 Jul 2013	12 Aug 2013
	12 Aug 2013	5 Sep 2013

Note: CN for Standard Project must be endorsed by EGNRET and EWG before submitted to APEC Secretariat.

Deadline for CNs submission to EGNRET: 3 May, 2013

Project Deadlines for Session 3, 2013



Concept Note Submission (Session 3, 2013)

Standard projects	Submission Deadline	Notification Date
Submission of Concept notes to APEC Secretariat	Nov 2013 *	-
Submission of <u>Full proposals</u> to APEC Secretariat	Dec 2013 *	-

* Final dates to be advised.

EGNRET Project Management



- When you submit full proposal to APEC Secretariat, Please also send a copy to EGNRET Secretariat.
- When you submit Monitoring Reports and Completion Report, please inform EGNRET Secretariat with a copy,
- Please send two-page project summary to EGNRET Secretariat, when the project is completed. This summary will be reported to EWG meeting..
- Please inform EGNRET Secretariat, when you upload the final report to APEC. EGNRET Secretariat will also inform all EGNRET members.
- If it would be possible, please present your project final outcome at EGNRET meeting, when project is completed.


Thank you very much for your kind assistance indeed.

Thank you for your attention!



EGNRET website: <http://www.egnret.ewg.apec.org/>

APEC Energy Working Group
EXPERT GROUP ON NEW AND RENEWABLE ENERGY TECHNOLOGIES



Asia-Pacific Economic Cooperation





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WELCOME

Welcome to the official website of the APEC Expert Group on New and Renewable Energy Technologies (EGNRET)

The EGNRET has been established by - and reports - to the APEC Energy Working Group (EWG)

The mission of the EGNRET is to facilitate an increase in the use of new and renewable energy technologies in the APEC region



News Meetings Contacts

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